PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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| | | ney Docket No. 544112000200 | | | | | | | | |
|--|-----------------------|---|--|--|--|--|--|--|--|--|
| UTILITY | First Ir | Inventor Xinli LIN | | | | | | | | |
| PATENT APPLICATION | | METHODS FOR PROPRIOTION OF | | | | | | | | |
| TRANSMITTAL | Title | METHODS FOR PRODUCTION OF | | | | | | | | |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | RECOMBINANT UROKINASE | | | | | | | | |
| (Siny for non-nonprovisional applications under 57 GFR 1.05(0)) | Expres | ess Mail Label No. EV332779957US | | | | | | | | |
| | MS Patent Application | | | | | | | | | |
| APPLICATION ELEMENTS | | ADDRESS TO. Commissioner for Patents | | | | | | | | |
| See MPEP chapter 600 concerning utility patent application con | ntents. | P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | | | |
| 1 Fee Transmittal Form (e.g., PTO/SB/17) (1 page in du | ınlicata) | CD DOM - CD D - d - forth law - till | | | | | | | | |
| 1. X Fee Transmittal Form (e.g., PTO/SB/17) (1 page in du (Submit an original, and a duplicate for fee processing) | ipiicate) | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | | | | | | |
| 2. X Applicant claims small entity status. See 37 CFR 1.27. | | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | | | | | | | |
| 3. X Specification [Total Pages 24 | 4), | ` <u></u> | | | | | | | | |
| (preferred arrangement set forth below) | <u>+</u> , | , , | | | | | | | | |
| - Descriptive title of the invention | | b. Specification Sequence Listing on: | | | | | | | | |
| Cross Reference to Related Applications Statement Regarding Fed sponsored R & D | | i. CD-ROM or CD-R (2 copies); or ii. Paper | | | | | | | | |
| Reference to sequence listing, a table, or a computer program listing appendix | | c. Statements verifying identity of above copies | | | | | | | | |
| Background of the Invention Brief Summary of the Invention | | ACCOMPANYING APPLICATION PARTS | | | | | | | | |
| Brief Description of the Drawings (if filed) Detailed Description | | Assignment Papers (cover sheet & document(s)) | | | | | | | | |
| - Detailed Description - Claim(s) - Abstract of the Disclosure | | 10. 37 CFR 3.73(b) Statement Power of Attorney | | | | | | | | |
| | 4] | 11. English Translation Document (if applicable) | | | | | | | | |
| 5. Oath or Declaration [Total Sheets | ı | 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations | | | | | | | | |
| a. Newly executed (original or copy) | | Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment | | | | | | | | |
| b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | | 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | | | | | |
| i. DELETION OF INVENTOR(S) | | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | | | | |
| Signed statement attached deleting inventor(s) named in the prior application, | | Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). | | | | | | | | |
| see 37 CFR 1.63(d)(2) and 1.33(b). | | Applicant must attach form PTO/SB/35 or its equivalent. | | | | | | | | |
| 6. X Application Data Sheet. See 37 CFR 1.76 (2 pages) | 17. Other: | | | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the | | | | | | | | | | |
| specification following the title, or in an Application Data Sheet under 37 CFR 1.76: | | | | | | | | | | |
| Continuation Divisional Continuation-in-part (CIP) of prior application No.: | | | | | | | | | | |
| Prior application information: Examiner | | Art Unit: | | | | | | | | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied | | | | | | | | | | |
| under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | | | |
| 19. COR | RESPO | ONDENCE ADDRESS | | | | | | | | |
| X Customer Number: 25226 | | OR Correspondence address below | | | | | | | | |
| Name | | | | | | | | | | |
| | | | | | | | | | | |
| Address | | | | | | | | | | |
| City State | | Zip Code | | | | | | | | |
| Country Telephone | | Fax | | | | | | | | |
| Name (Print/Type) Jie Zhou | | Registration No. (Attorney/Agent) 52,395 | | | | | | | | |
| Signature Sc 3 | | Date April 16, 2004 | | | | | | | | |
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| | | | | | | | | | | |
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV332779957US, in an envelope addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the | | | | | | | | | | |
| date shown below. | | | | | | | | | | |
| Dated: April 16, 2004 Signature: (Tia B. Zimmerman) | | | | | | | | | | |

PTO/SB/17 (10-03)

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| EEE TOANGMITTAL | L | Complete if Known | | | | | | | |
|--|---|-------------------------|----------------------|-------------|--|--|---------------------|----------|--|
| FEE TRANSMITTAL for FY 2004 | | Application Number | | | | Not Yet Assigned | | | |
| | | Filing Date | | | | Concurrently Herewith | | | |
| | | | First Named Inventor | | | | Xinli LIN | | |
| Effective 10/01/2003, Patent fees are subject to annual revision. | Examiner Name | | | | | Not Yet Assigned | | | |
| X Applicant claims small entity status. See 37 CFR 1.27 | | | Art Unit | | | | Not Yet Assigned | | |
| TOTAL AMOUNT OF PAYMENT (\$) 421.00 | _ | Attorney Docket No. | | | | 544112000200 | | | |
| METHOD OF PAYMENT (check all that apply) | | | | | | | | | |
| Constitution Const | FEE CALCULATION (continued) | | | | | | | | |
| Check Card Order Other None X Deposit Account: | 3. ADDITIONAL FEES | | | | | | | | |
| Deposit | | Entity | | Entity | _ | | | ı | |
| Account 03-1952 | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | | | Fee Paid | |
| Deposit Account Morrison & Foerster LLP | 1051 | 130 | 2051 | 65 | Surcharge - | - late filing fe | e or oath | | |
| Name The Director is authorized to: (check all that apply) | 1052 | 50 | 2052 | 25 | Surcharge – late provisional filing fee or cover sheet. | | | | |
| X Charge fee(s) indicated below X Credit any overpayments | 1053 | 130 | 1053 | 130 | Non-English | n specificatio | n | | |
| X Charge any additional fee(s) or any underpayment of fee(s) | 1812 | 2,520 | 1812 | 2,520 | For filing a re | equest for ex p | parte reexamination | | |
| Charge fee(s) indicated below, except for the filing fee | 1804 | 920* | 1804 | 920* | Examiner a | publication o | | | |
| to the above-identified deposit account. | 1805 | 1,840* | 1805 | 1,840* | Requesting Examiner a | publication o | | | |
| FEE CALCULATION | 1251 | 110 | 2251 | | | or reply within | | | |
| 1. BASIC FILING FEE | 1252 | 420 | 2252 | 210 | | • • | second month | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid | 1253 | 950 | 2253 | | | or reply within | | | |
| Code (\$) Code (\$) | 1254 | 1,480 | 2254 | 740 | Extension for | or reply within | n fourth month | | |
| 1001 770 2001 385 Utility filing fee 385.00 | 1255 | 2,010 | 2255 | 1,005 | Extension for | or reply within | n fifth month | | |
| 1002 340 2002 170 Design filing fee | 1401 | 330 | 2401 | 165 | Notice of Appeal | | | | |
| 1003 530 2003 265 Plant filing fee | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | | | | |
| 1004 770 2004 385 Reissue filing fee | 1403 | 290 | 2403 | | Request for oral hearing Petition to institute a public use proceeding | | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 1452 | 1,510 | 1451 2452 | 1,510 55 | | | | | |
| SUBTOTAL (1) (\$) 385.00 | 1452 | 110 1,330 | 2452 | 665 | | n to revive – unavoidable n to revive - unintentional | | | |
| 2. EVERA OLAIM FEEC FOR HELLEY AND DELOCHE | 1501 | 1,330 | 2501 | | | fee (or reiss | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from | 1502 | 480 | 2502 | | • | • | 16) | | |
| Claims below Fee Paid Total Claims 24 -20** = 4 x 9.00 = 36.00 | 1502 | 640 | | 320 | Design issue | | | | |
| Independent - | 1460 | 130 | 2503 1460 | | Plant issue | the Commiss | iono. | | |
| Claims | | | | | | | | | |
| Multiple Dependent 145.00 = 0.00 | 1807 | 50 | 1807 | 50 | • | | CFR 1.17(q) | | |
| Large Entity Small Entity | 1806 | 180 | 1806 | | | | n Disclosure Stmt | | |
| Fee Fee Fee Code (\$) Fee Description | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | | | | |
| 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | | | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 | 770 | 2810 | 385 | For each additional invention to be | | | | |
| 1204 86 2204 43 ** Reissue independent claims | 1801 | 770 | 2801 | 385 | examined (37CFR 1.129(b)) Request for Continued Examination (RCE) | | | | |
| over original patent | 1802 | 1802 900 1802 900 Reque | | | Request for | t for expedited examination | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | ee (spec | | | of a design | application | | | |
| SUBTOTAL (2) (\$) 36.00 **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 | | | | | | | | |
| SUBMITTED BY (Complete (if applicable)) | | | | | | | | | |
| Name (Print/Type) Jie Zhou | Registration No. (Attorney/Agent) 52,395 Telephone (650) 813-5922 | | | | | | | | |
| Signature 323 | (Altomey/Agent) | | | | | | April 16, 2004 | | |
| | | | | | | L | | | |